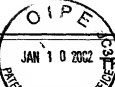



03C0#3

	<b>TRANSMITTAL OF FORMAL DRAWINGS</b>		Docket No. 09163-20901
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In Re Application Of:

Anne E. Allen & Paul N. Desroches

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/929,886	August 15, 2001	TBA	TBA	2161

Invention:

**METHOD AND SYSTEM FOR AUTOMATIC EXECUTION OF SECURITIES TRANSACTION**

Address to:  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Transmitted herewith are:

31 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.




*Signature*

Chris L. Holm  
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Dated: October 24, 2001

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I certify that this document and attached formal drawings are being deposited on <u>October 24, 2001</u> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 <i>Signature of Person Mailing Correspondence</i>
<b>Hildere Jean-Louis</b> <i>Typed or Printed Name of Person Mailing Correspondence</i>

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,886	08/15/2001	Anne E. Allen	09163-20901

27171

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 NEW YORK, NY 10005-1413


CONFIRMATION NO. 9301

FORMALITIES LETTER



\*OC000000006571085\*

Date Mailed: 09/18/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - there are missing lead lines. Lead lines are required for each reference character (except for those which indicate the surface or cross section on which they are placed, in which case the reference character must be underlined to make it clear that a lead line has not been left out by mistake) (see 37 CFR 1.84(q));

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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